



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steven John Schray

Application No.: 10/035,031 Filed Date: December 21, 2001

Confirmation No. 9217 Group: 2839 Examiner: Prasad, C.

For: Module and Housing for DWDM Equipment

CERTIFICATE OF MAILING	
I Hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
on <u>May 25, 2004</u>	<u>Sharon R. Lloyd</u>
(Date)	Signature
<u>Sharon R. Lloyd</u>	
Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(More than 3 months after receipt of the International Search Report, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with:
- ☒ a Statement under 37 CFR 1.97(e), as checked below, and
- ☒ a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
(Filed after payment of issue fee)



Statement Under 37 CFR 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

- ☒ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

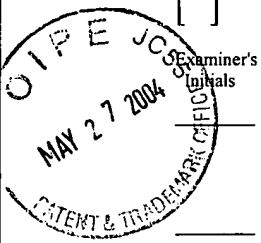
- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ In accordance with 37 C.F.R. § 1.98 (d), copies of cited references (*list reference not enclosed*) are not enclosed as these references were entered in earlier application, U.S. Application No. [], to which the present application claims priority under 35 U.S.C. 120. The earlier application contains copies of the cited references.
- ☐ If copies of references [*list references not enclosed*] would benefit the Examiner of this case, the Examiner is invited to call the undersigned attorney and copies will be forwarded immediately.
- ☐ Listed references [] were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ the enclosed English language abstract.



☐ Applicant requests that the following pending applications be considered:

U.S. Patent Application No. [], Publication No. [], Publication Date [],
by [inventor(s)], filed [], Docket No.: []

U.S. Patent Application No. [], Publication No. [], Publication Date [],
by [inventor(s)], filed [], Docket No.: []

U.S. Patent Application No. [], Publication No. [], Publication Date [],
by [inventor(s)], filed [], Docket No.: []

Examiner

Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account No. 50-1935 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1935.

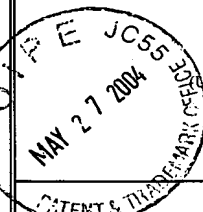
Respectfully submitted,

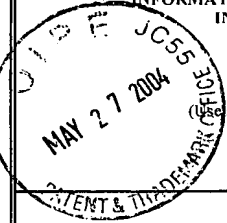
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PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION May 25, 2004 (Use several sheets if necessary)				ATTORNEY DOCKET NO. 061408.2004-102		APPLICATION NO. 10/035,031	
				APPLICANT Steven John Schray			
				FILING DATE December 21, 2001		GROUP ART UNIT 2839	
U.S. PATENT DOCUMENTS							
EXAM- -INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	4,111,524	5 Sep 1978	Tomlinson, III	350	96.19	
	AB	4,486,071	4 Dec 1984	Levinson	350	96.18	
	AC	4,583,820	22 Apr 1986	Flamand et al	350	96.19	
	AD	4,622,662	11 Nov 1986	Laude et al	370	3	
	AE	4,634,215	6 Jan 1987	Reule	350	96.16	
	AF	4,651,315	17 Mar 1987	Laude	370	3	
	AG	4,675,860	23 Jun 1987	Laude et al	370	3	
	AH	4,744,618	17 May 1988	Mahlein	350	96.19	
	AI	4,748,614	31 May 1988	Dammann et al	370	3	
	AJ	4,819,224	4 Apr 1989	Laude	370	3	
	AK	4,824,196	25 Apr 1989	Bylander	385	134	
	AA2	4,836,634	6 Jun 1989	Laude	350	96.19	
	AB2	4,861,134	29 Aug 1989	Alameel et al	350	96.20	
	AC2	4,923,271	8 May 1990	Henry et al	350	96.19	
	AD2	4,995,688	26 Feb 1991	Anton et al	350	96.10	
	AE2	5,011,257	30 Apr 1991	Wettengel et al	385	53	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AL	WO 88/07216	22 Sep 1988	PCT			
	AM	EP 1 008 878	14 Jun 2000	EP			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR						
	AS						
EXAMINER				DATE CONSIDERED			

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U.S. PATENT DOCUMENTS							
EXAM- -INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AF2	5,090,792	25 Feb 1992	Koht et al	385	32	
	AG2	5,100,221	31 Mar 1992	Carney et al	385	135	
	AH2	5,127,082	30 Jun 1992	Below et al	385	135	
	AI2	5,363,465	8 Nov 1994	Korkowski et al	385	135	
	AJ2	5,457,573	10 Oct 1995	Iida et al	359	569	
	AK2	5,708,742	13 Jan 1998	Beun et al	385	53	
	AA3	5,778,130	7 Jul 1998	Walters et al	385	134	
	AB3	5,808,763	15 Sep 1998	Duck et al	359	127	
	AC3	5,903,698	11 May 1999	Poremba et al	385	135	
	AD3	5,917,625	29 Jun 1999	Ogusu et al	359	130	
	AE3	5,960,133	28 Sep 1999	Tomlinson	385	18	
	AF3	5,969,294	19 Oct 1999	Eberle et al	174	57	
	AG3	5,975,769	2 Nov 1999	Larson et al	385	53	
	AH3	5,987,203	16 Nov 1999	Abel et al	385	51	
	AI3	6,084,695	4 Jul 2000	Martin et al	359	131	
	AJ3	6,108,471	22 Aug 2000	Zhang et al	385	37	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AN	WO 01/14921	1 Mar 2001	PCT			
	AO						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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U.S. PATENT DOCUMENTS							
EXAM- -INER INI- TIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AK3	6,130,971	10 Oct 2000	Cao	385	31	
	AA4	6,167,183	26 Dec 2000	Swain	385	135	
	AB4	6,208,796	27 Mar 2001	William Vigliaturo	385	135	
	AD						
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	AC2						
	AD2						
	AE2						
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	AM						
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EXAMINER				DATE CONSIDERED			